I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Label No. EV375956291US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: December 22, 2004 Signature:

Docket No.: 199372005400 (PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Norihiro ITO et al.

Art Unit: Not yet assigned

Application No.: Currently unknown

Examiner: Not Yet Assigned

Filed: Concurrently herewith

National Stage of PCT/JP03/08048

Filed: June 25, 2003

For: SUBSTRATE PROCESSING SYSTEM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

$\bowtie$	With the new application; accordingly, no fee or separate requirements are required.
	Before the mailing of a first Office Action after the filing of a Request for Continued
	Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. §
	1.97(e)(1) has been provided.

LA-766600

Application No.: Not yet assigned

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**10/519126** 

Docket No.: 199372005400 DT01 Rec'd PCI/F: 2 2 DEC 2004

Within three months of the application filing date or before mailing of a first Office Action
on the merits; accordingly, no fee or separate requirements are required. However, if
applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Director to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 199372005400. However, the Director is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 22, 2004

Respectfully submitted,

David L. Fehrman

Registration No.: 28,600 MORRISON & FOERSTER LLP

555 West Fifth Street, Suite 3500

Los Angeles, California 90013

(213) 892-5630

la-766600

ALTERNATIVE TO PTO/SB/08a/b (06-03)

				Complete if Known		
Substitute for form 1449/PTO				Application Number	Not yet assigned 1717120	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	Concurrently herewith	
				First Named Inventor	Norihiro ITO	
OTATEMENT DI ALL EIGANT				Art Unit	Not yet assigned	
	(Use as many sheets as necessary)			Examiner Name	Not yet assigned	
Sheet 1 of		1	Attorney Docket Number	199372005400		

Express Mail

			U.S. PATE	NT DOCUMENTS		
		Document Number			Pages, Columns, Lines, Where	
Examiner Initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	1.	5,063,609	10/11/1989			
	2.	5,520,743	08/31/1993			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>e</sub>		
	3.	JP 59-128733	08/30/1984	NEC Corp.				
	4.	JP 03-137401	06/12/1991	Applied Materials Inc.	English Abstract included			
	5.	JP 06-084464	03/25/1994	Tokyo Electron Ltd.	English Abstract included			
	6.	JP 09-199472	07/31/1997	Komatsu Electron KK	English Abstract included			
	7.	JP 09-251975	09/22/1997	Sakaguchi Dennetsu KK	English Abstract included			
	8.	JP 09-327669	12/22/1997	Ibiden Co. Ltd.	English Abstract included			
	9.	JP 10-055991	02/24/1998	Hitachi Ltd.	English Abstract included			
	10.	JP 2000-091288	03/31/2000	Pyuarekkusu-KK et al.	English Abstract included			
	11.	JP 2001-252550	09/18/2001	Yokogawa Electric Corp.	English Abstract included			
	12.	JP2002-110611	04/12/2002	Texas Instrument Japan Ltd.	English Abstract included			

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner		Date	
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LA-766602